



WELCOME TO A BOC GROUP WEBINAR





Integrated Risk and Control Management

– How your BPM and GRC can benefit from one another

BPM

Business Process
Management

EAM

Enterprise Architecture
Management

GRC

Governance, Risk
and Compliance

Host and Speaker



VINCENT PAQUETTE
GLOBAL BUSINESS DEVELOPMENT



TOM BRENNAN
BPM & EA CONSULTANT



Highlights & Focus

- ▶ The **benefits** of integrating **BPM** and **GRC** are obvious
- ▶ Only those who view **GRC** in an **integrated way** can gain **added value** for the company.
- ▶ It is important to link the **processes** of the company with the portfolio of **operational risks** and to optimally manage them with an **internal control system**



About BOC Group – Facts & Figures

- 🌐 Offices and Partners Worldwide
- 👤 Product, Consulting, Training
- 🎯 0% Outsourcing, 100% BOC Group
- ☆ More than 20 Years of Experience



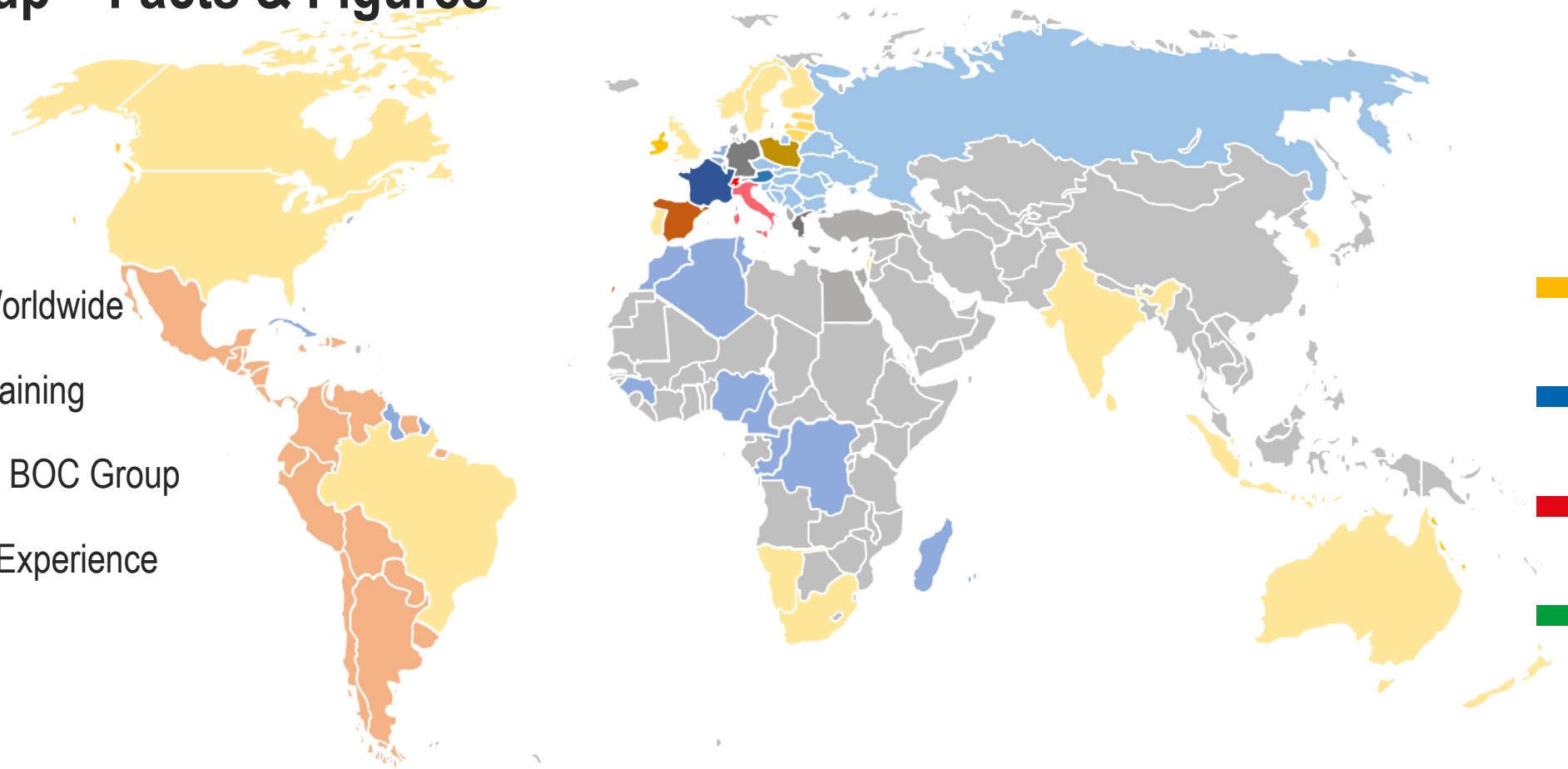
EMPLOYEES
230+
in 8 Countries

CUSTOMERS
1.000+
in 50+ Countries

PARTNERS
90+
in 25+ Countries

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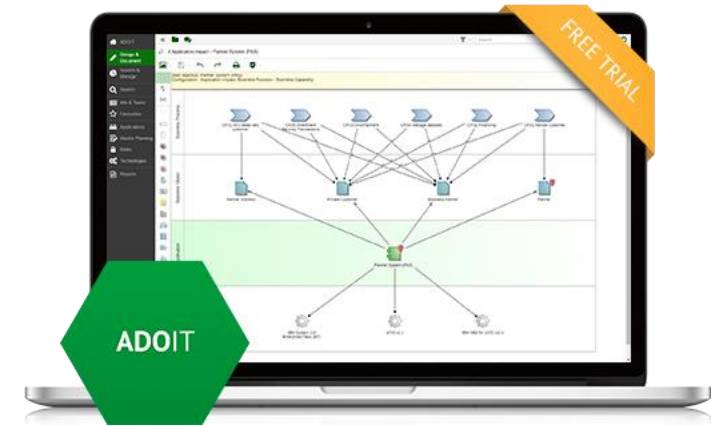
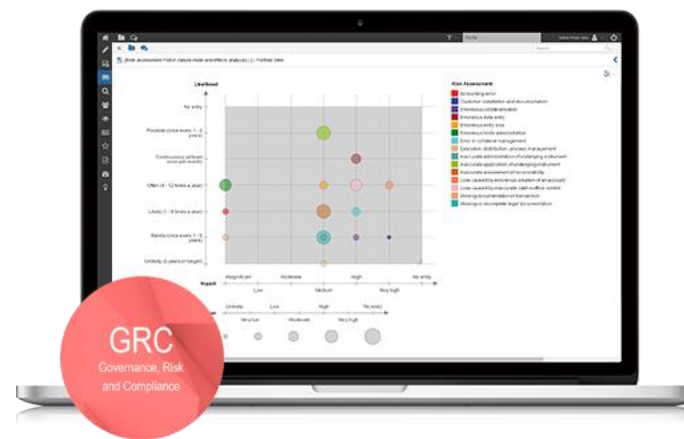



USER COMMUNITY
90.000+
in 120+ Countries

INSTALLATIONS
35.000+
in 80+ Countries

PROJECTS
5.000+
in 20+ Years


BOC MANAGEMENT OFFICE – Enabling Transformation of Business & IT



 100% web-based

★ Simple and intuitive usage

➔ Stakeholder-specific views & dashboards

 Powerful analysis & reporting capabilities

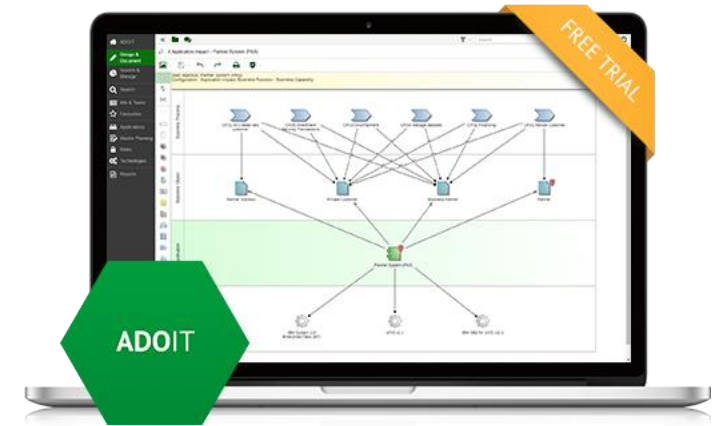
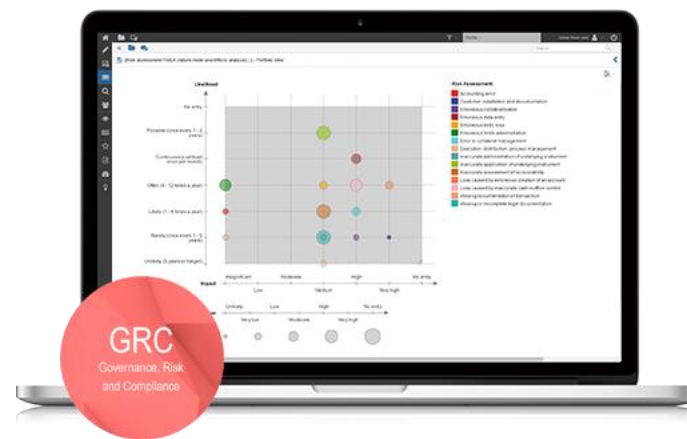
 Expandable scenarios

 Flexible configuration options

 Seamless integration with other tools

 Advanced communication and collaboration

BOC MANAGEMENT OFFICE – Enabling Transformation of Business & IT



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CONSULTING



TRAINING



SUPPORT

Customer & Analyst Ratings

- ▶ **Leader in Enterprise Architecture Management Suites**, The Forrester Wave™: “Enterprise Architecture Management Suites, Q2 2017”
- ▶ Positioned in the **2018 Gartner Magic Quadrant** for Enterprise Architecture Tools
- ▶ **Gartner Market Guide for Enterprise Business Process Analysis**: Representative Vendor for ADONIS
- ▶ ADOIT featured in **OVUM “On the Radar” Report**
- ▶ Scored among the 5 highest with ADOIT in the **2018 Gartner Critical Capabilities for Enterprise Architecture Tools**



GET MORE INSIGHTS ONLINE





Integrated Risk and Control Management

– How your BPM and GRC can benefit from one another

Today's Content – What to Expect

1

Introduction & Definition

- Definition
- Reasons for the management of operational risks
- Target model for the management of operational risks

2

Integrated GRC

- Systematic vs. Systemic approach
- Embedded in the 3 Line Of Defence model

3

Implementation of Integrated Risk Management

- Integration of processes and operational risks
- Integrated Risk Management with ADONIS



Definitions

“

„A **Risk** is the effect of uncertainty on objectives“
(See ISO 31000/ONR 49000)

Definitions

66

“Operational Risk is defined as the risk of losses stemming from inadequate or failed internal processes, people and systems or from external events and includes legal risk”
(European Commission)

Definitions

“

"Risk Management encompasses all processes and behaviors designed to identify potential events and developments and to manage the organization in terms of risk, in order to ensure reasonable assurance of the achievement of the objectives" (See ONR 49000)

Definitions

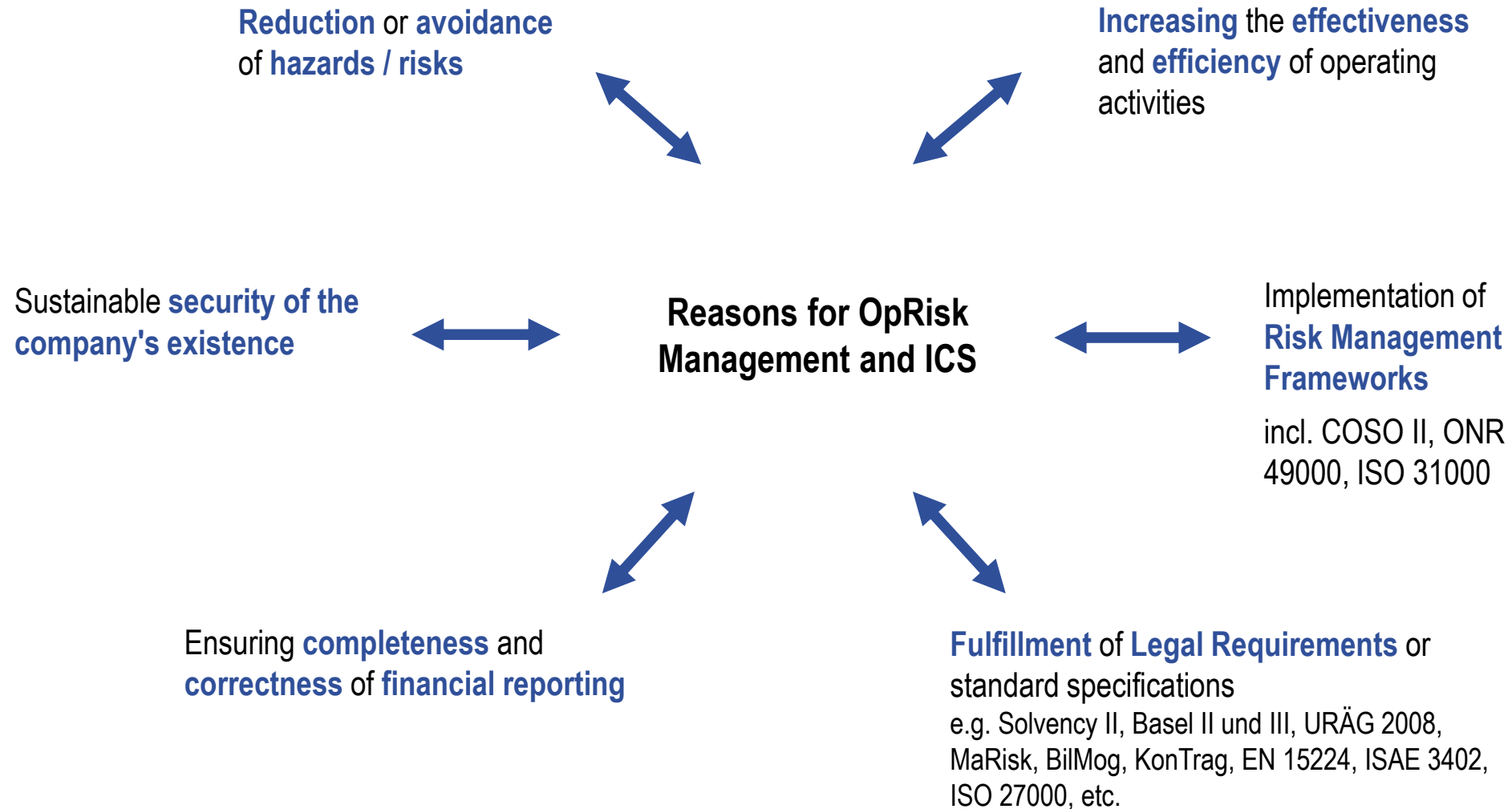


"An **internal control system** is a process to ensure the achievement of business objectives in compliance with regulations, in terms of effectiveness and efficiency, correctness of financial reporting and in accordance with legal framework.

It is therefore the totality of all arranged **processes, methods and measures as well as process-related monitoring measures** of an organization to ensure a proper operational procedure.

(See Institute for Internal Auditing Austria and PWC, Internal Control System - Management Tools in Transition)

Reasons for Managing Operational Risks



Operational Risk Management Objectives



Operational Risk Management Objectives



Compliance with
Legal
Requirements

- ▶ Corporate Laws
- ▶ VAG, BWG, WAG, ...
- ▶ GDPR
- ▶ BCBS 239

Operational Risk Management Objectives



▶ Quality

▶ Cost

▶ Time

Operational Risk Management Objectives



▶ Internal Fraud

▶ External Fraud

▶ Corruption

Operational Risk Management Objectives



Accuracy
and Reliability of
Reporting

- ▶ Compliance with rights and obligations
- ▶ Presentation and disclosure
- ▶ Completeness of all transactions and events
- ▶ Rating



A grayscale photograph of a person's hands typing on a laptop keyboard. The laptop screen displays a software interface with a scatter plot and a list of items. A large, bold, black quote mark is superimposed over the center of the image, partially obscuring the laptop screen and the person's hands.

“

The Whole is Greater than the Sum of its Parts.
(Aristoteles)

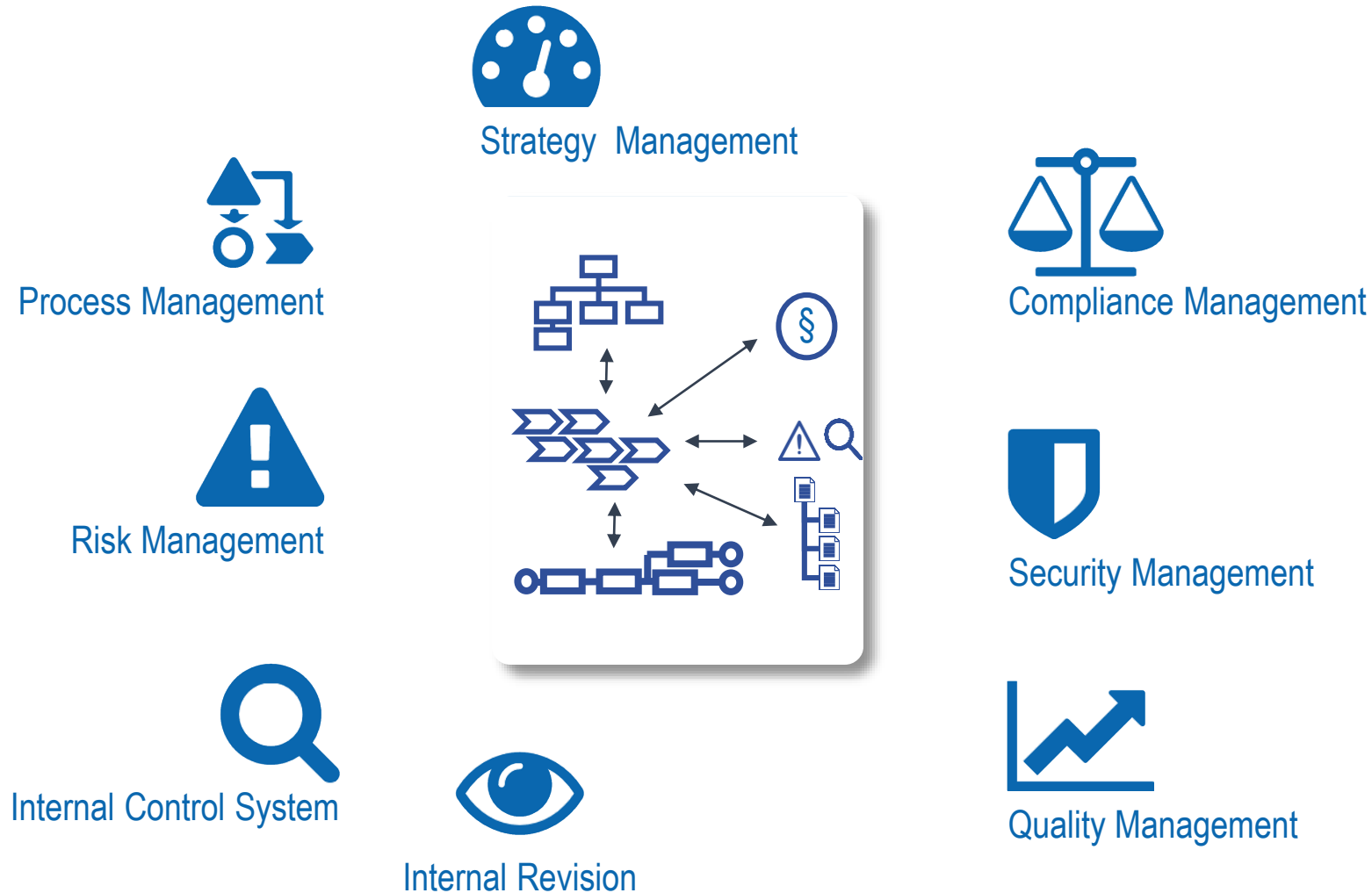
Integrated GRC: Systematic Approach



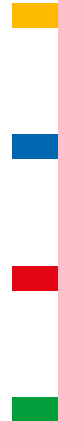
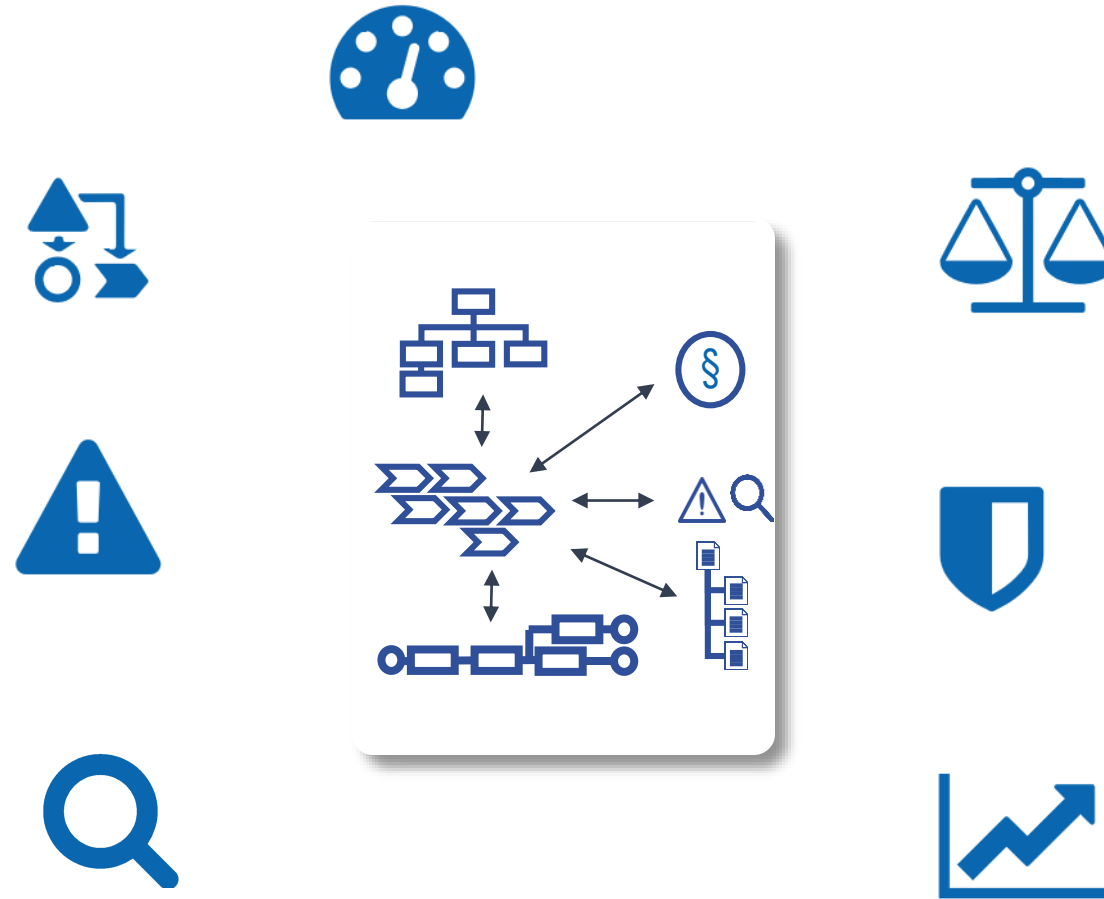
Integrated GRC: Systemic Approach



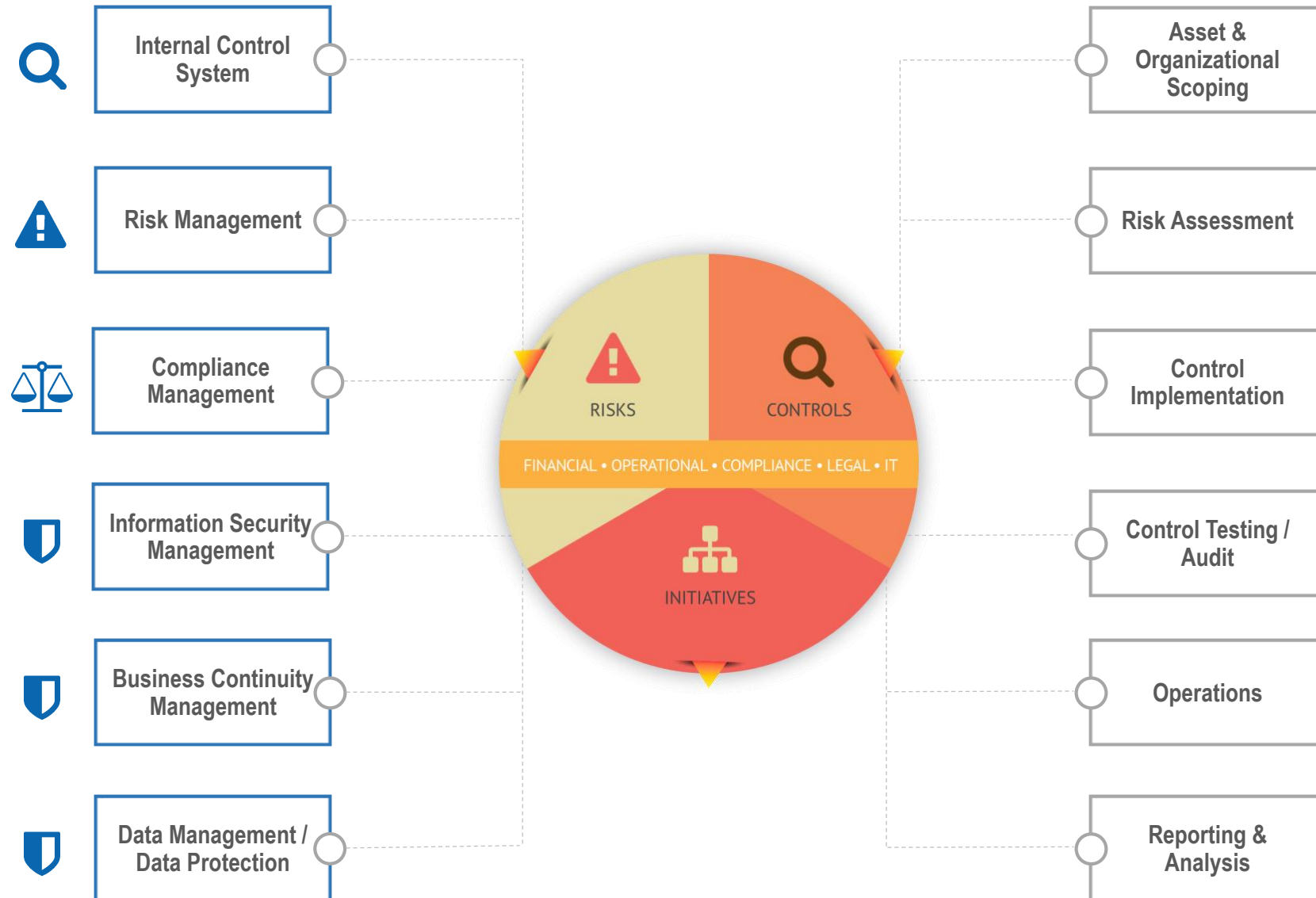
Integrated GRC in the Context of the 3 Line Of Defense



Integrated GRC in the Context of the 3 Line Of Defense



Integrated GRC – Specialist Scenarios and Typical Skills



Integrated GRC Framework – Assets as the Baseline



Integrated GRC – The Procedure



Capture, Evaluate and Operationalize



Build-up and relation definition of the risk control portfolio, as well as the definition of workflows and responsibilities for embedding in the organization.



Analyse and Report



Continuous work with the portfolio for all roles. Identification of changes and target groups.

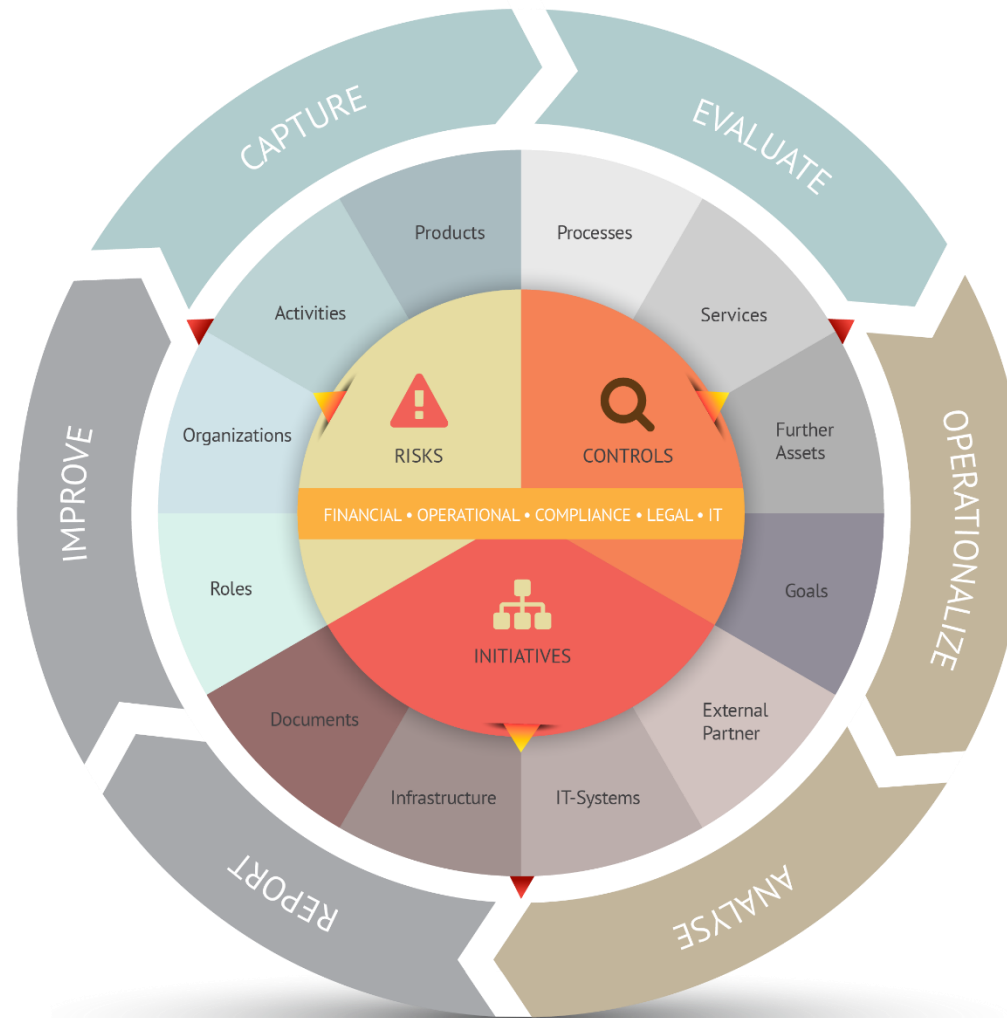


Improve

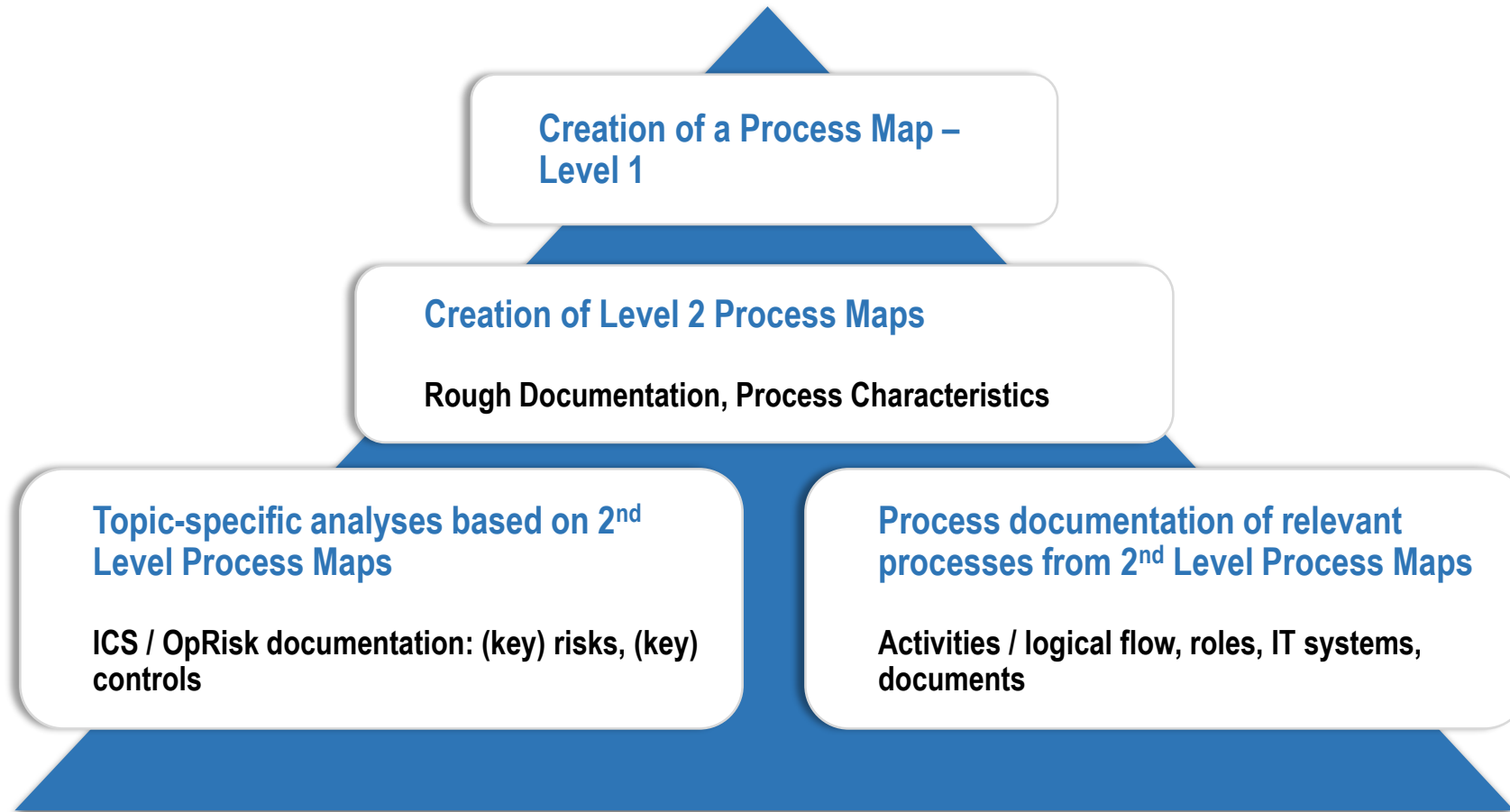


Optimize the portfolio, work with initiatives and control results, as well as changes in the structure, process and IT organization.

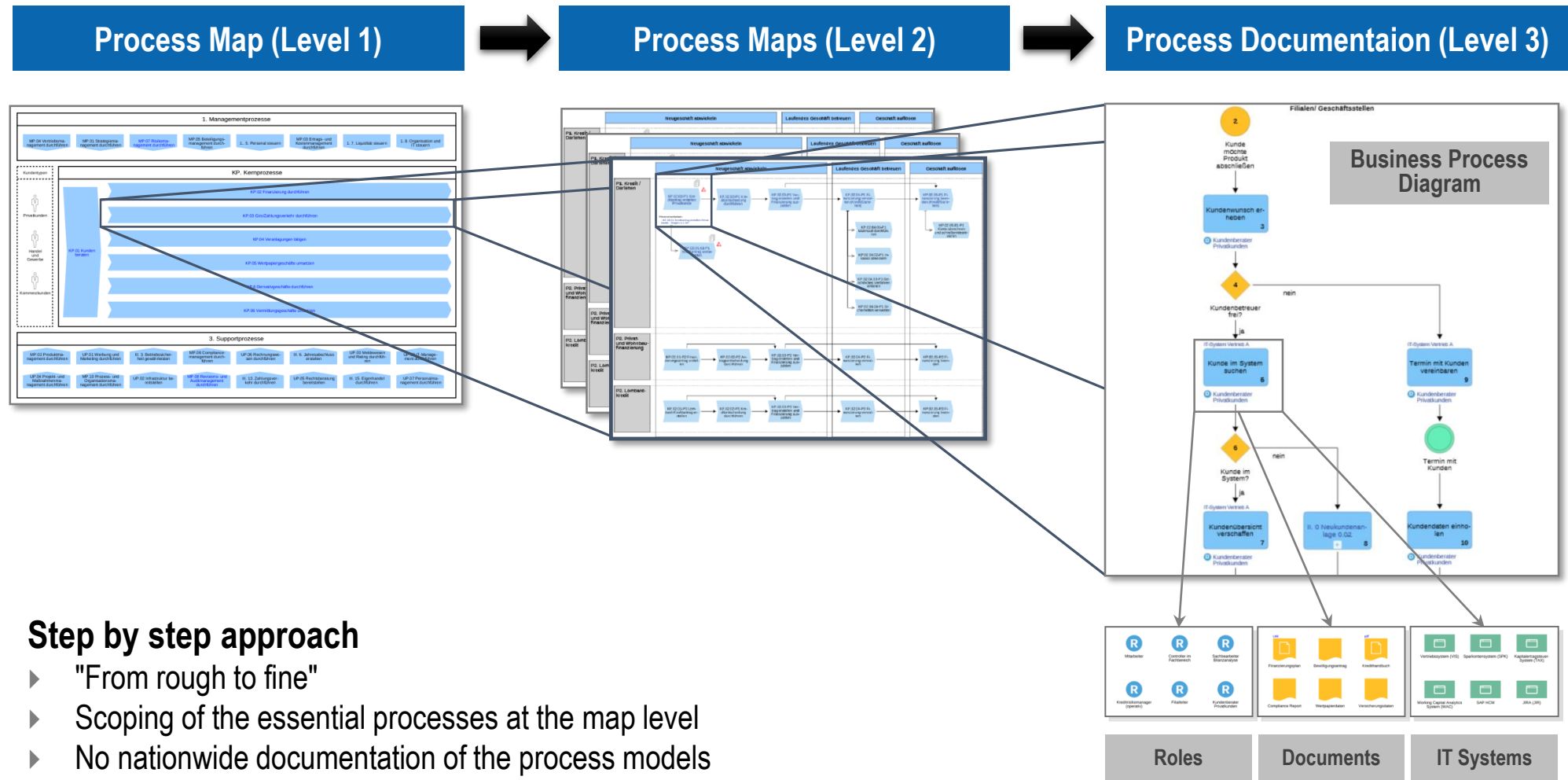
GRC Framework – The Framework at a Glance



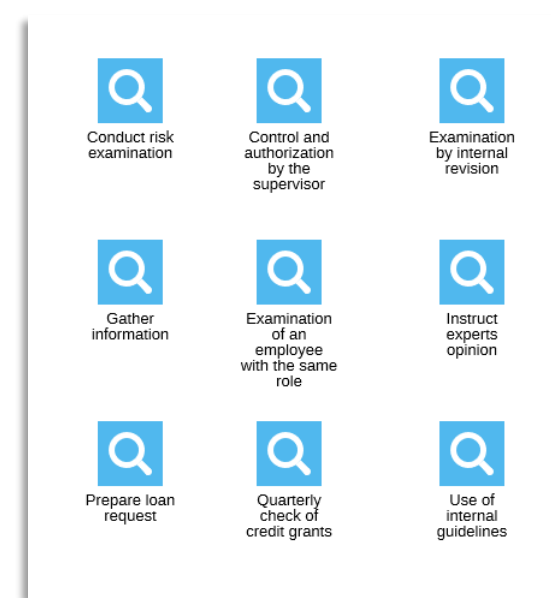
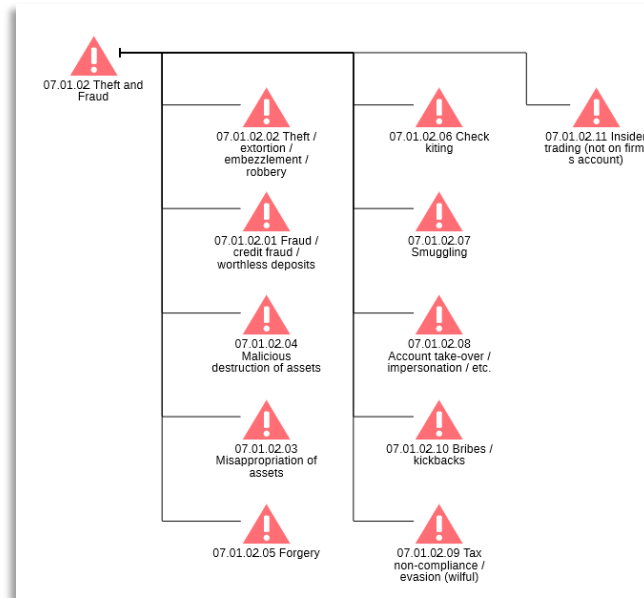
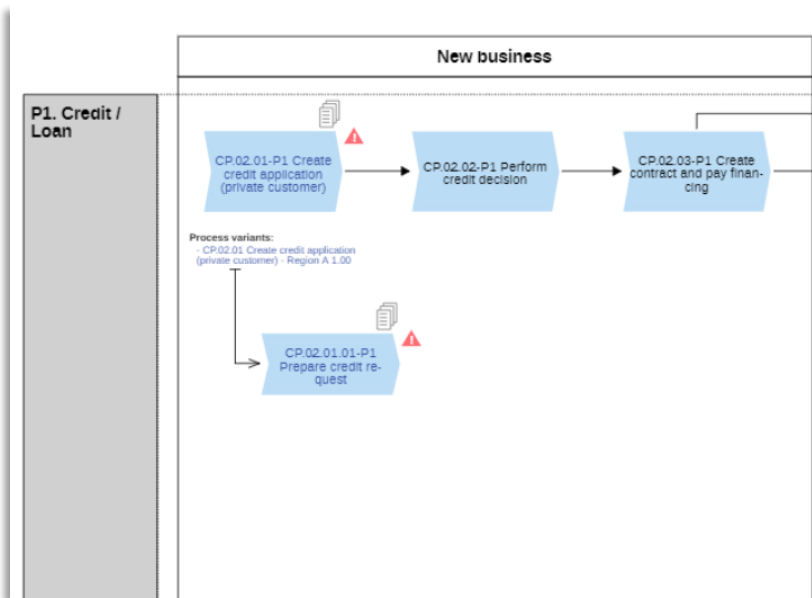
Integrated Business Process and Risk Management – Process Model



Integrated Business Process and Risk Management

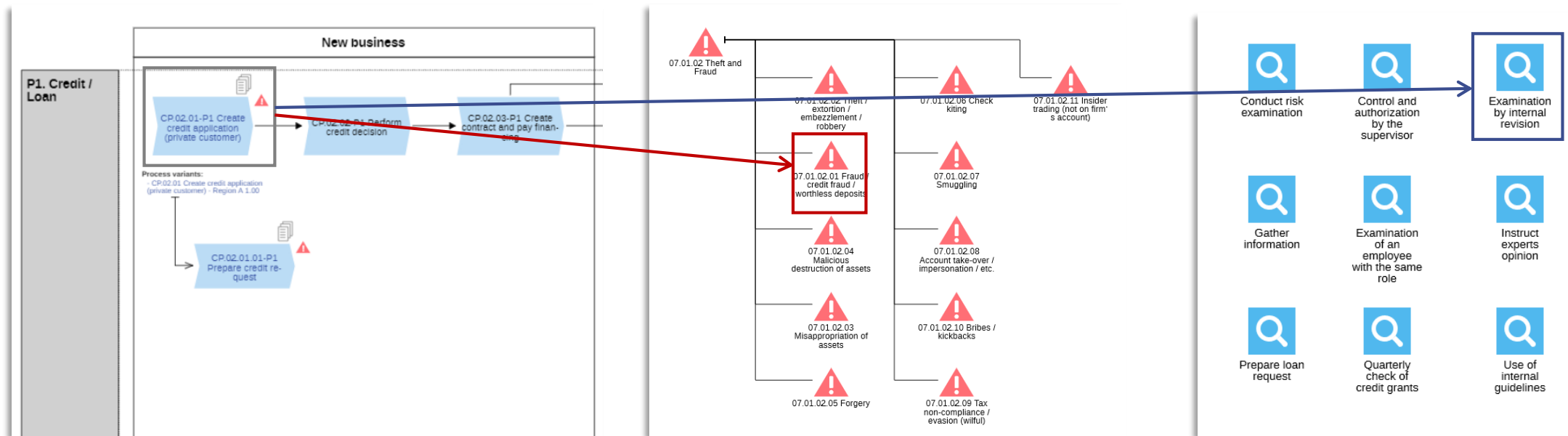


Integrated Business Process and Risk Management



- ▶ Documentation of the relevant (key) risks incl. description of the scenarios
- ▶ Documentation of the relevant (key) controls
 - ▶ Descriptions
 - ▶ Derivation of initiatives in case of control weaknesses

Integrated Business Process and Risk Management

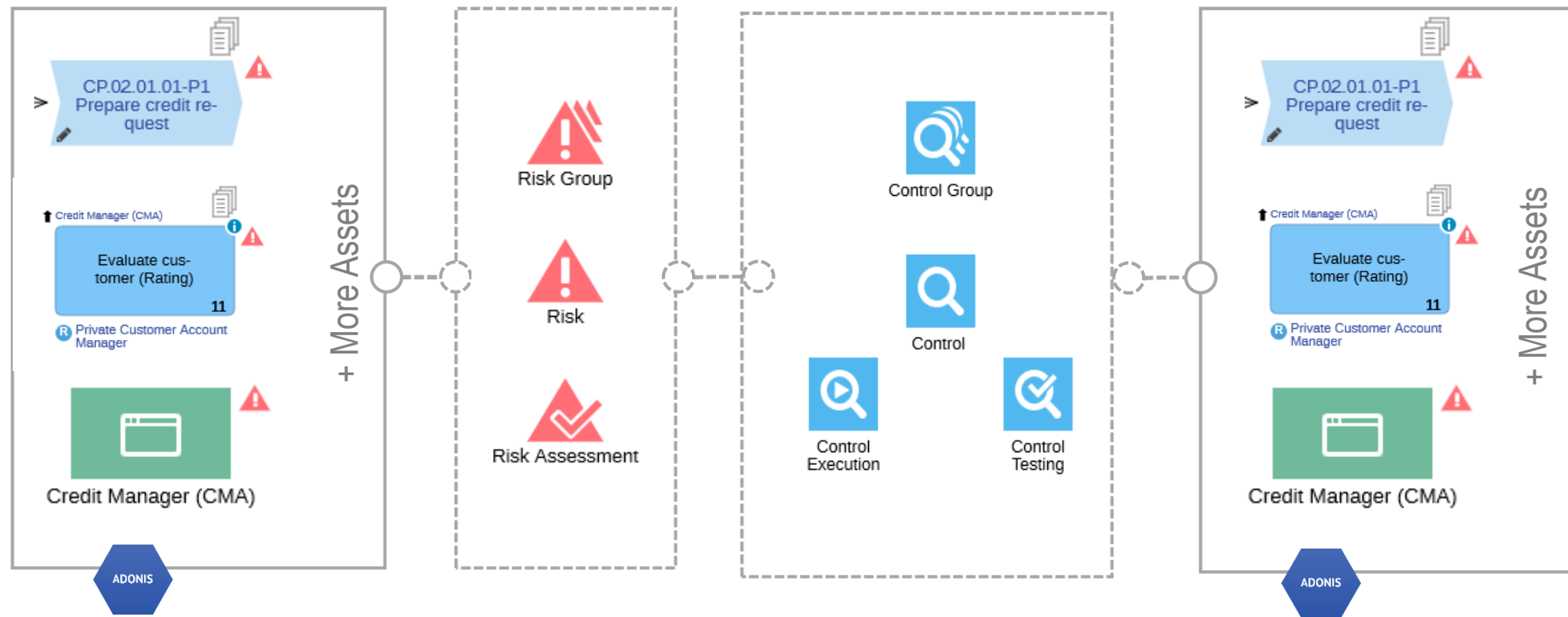


Process maps, processes, activities and other assets serve as identification objects for risks:

- ▶ Process-related risks
- ▶ Activity-related risks
- ▶ Process / activity-independent risks

Controls are used in the ICS as an instrument for coping with risks

Integrated Business Process and Risk Management



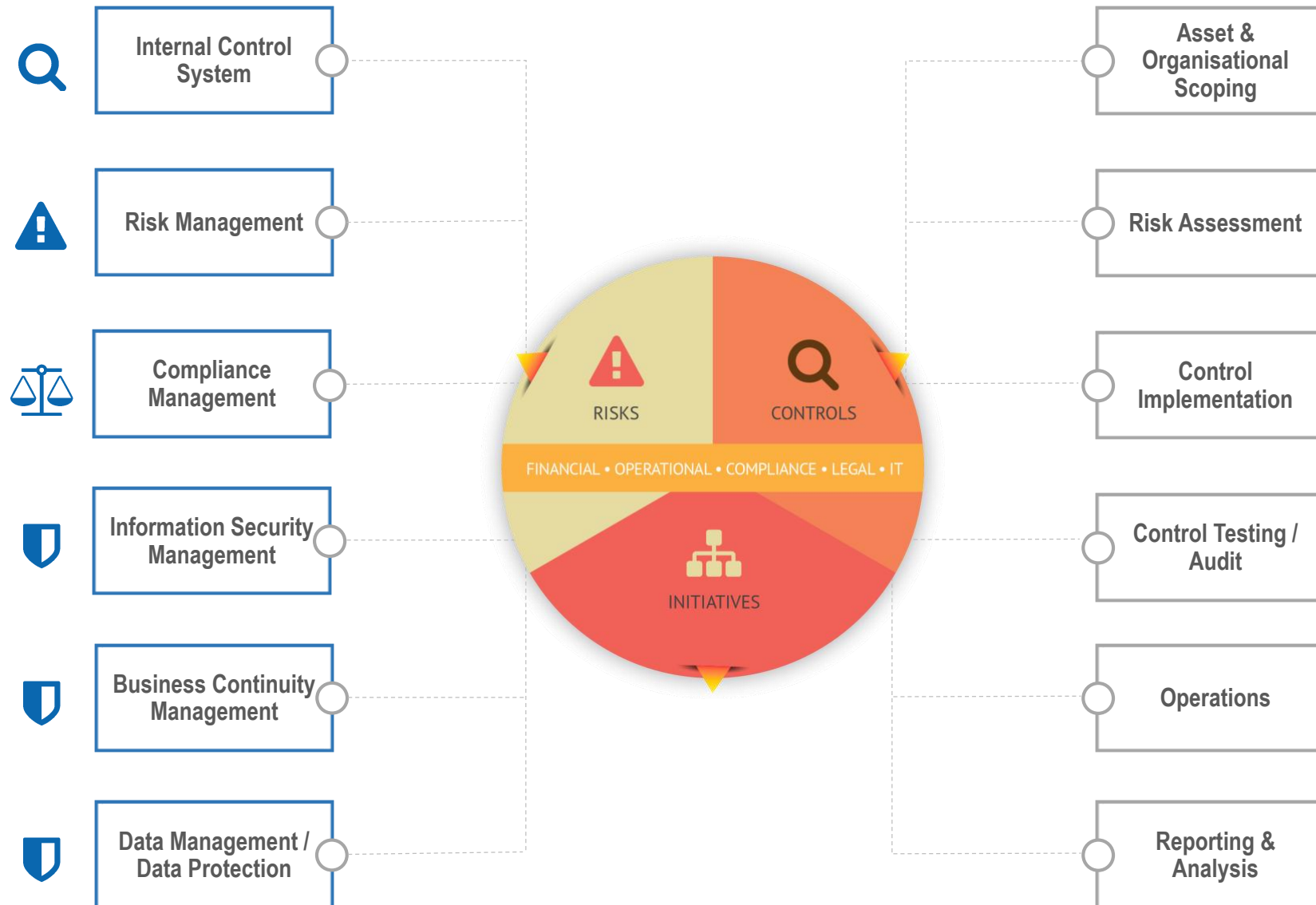


Live-Demo

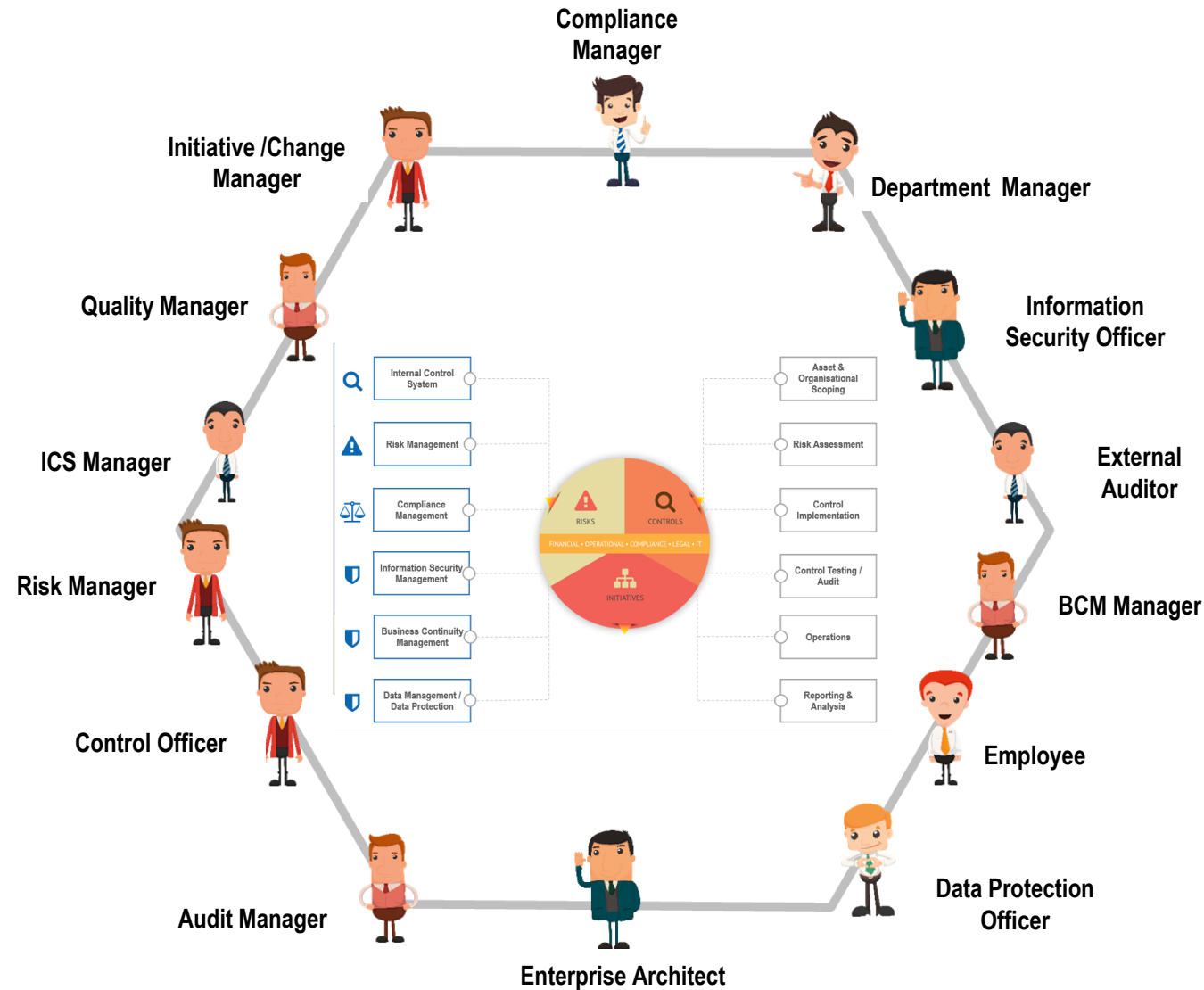


Governance,
Risk &
Compliance

Target Image of an Integrated GRC System



Target Image of an Integrated GRC System



Target Image of an Integrated GRC System



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100% Web Based

★ Intuitive Operation

➡ Individual Dashboards & Views

🎮 Powerful Analysis and Reporting Capabilities

🌿 Extendible Scenarios

⚙️ Flexible Configuration

🔗 Seamless Integration with 3rd Party Vendors

💬 Communication & Collaboration Mechanisms

-



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Thematic On-Demand Webinars

1

GRC 2.5 unboxed

Discover the new GRC suite

2

Get “Audit-Ready”

Efficiently, Transparently and Provably

3

ADONIS NP 5.5 unboxed

Experience a new era of modelling

4

Getting started with ICS and Risk Management

Key factors for implementing Governance, Risk & Compliance successfully in your organization

5

Getting started with BPM

Key factors for implementing BPM successfully in your organization



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